



TENNESSEE BUREAU OF WORKERS' COMPENSATION IN THE COURT OF WORKERS' COMPENSATION CLAIMS AT JACKSON

JEANNIE HART,) Docket No. 2018-07-0436
Employee,)
v.) State File No. 17700-2018
THYSSEN KRUPP ELEVATOR,)
Employer.) Judge Allen Phillips
)

COMPENSATION HEARING ORDER

This case came before the Court for a Compensation Hearing on May 28, 2020. The only issue was the extent of Ms. Hart's permanent partial disability. For the following reasons, the Court holds Ms. Hart sustained a six-percent permanent partial disability to the body as a whole.

History of Claim

When Ms. Hart injured her left shoulder, Thyssen Krupp provided a panel of physicians, from which she chose Dr. Jason Hutchison, an orthopedic surgeon. Dr. Hutchison diagnosed a rotator cuff syndrome and recommended an MRI that revealed a torn labrum, AC joint arthritis, and rotator cuff tendinitis.

After conservative treatment failed, Dr. Hutchison performed surgery. He described the procedure as a biceps tenodesis, labral repair, subacromial decompression, and distal clavicle resection. The distal clavicle resection forms the basis of the current dispute.

Dr. Hutchison said he excised Ms. Hart's distal clavicle "so that the bones [were] no longer touching one another and thereby less symptomatic." He said he tried to leave "no stone unturned" in surgery and wanted "to fix everything that can be fixed." He added that, in hindsight, he felt the clavicle resection was "the right thing to do" to avoid further surgery. He said that if not for the work injury, he would not have recommended a distal clavicle resection.

Dr. Hutchison obtained a Functional Capacity Evaluation (FCE), which determined

Ms. Hart was restricted in the use of her shoulder. He considered those restrictions in determining that a six-percent impairment rating for the distal clavicle resection was appropriate under the *American Medical Association Guidelines to the Evaluation of Permanent Impairment, 6th Ed.* He explained the rating in a detailed office note and included it on a "Form Medical Report" (C-30A).

In his deposition, Dr. Hutchison explained his reasoning. Namely, he saw two ways to rate Ms. Hart. First, he could use the *Guides's* section that he did. Second, he could rate Ms. Hart for the labral tear and biceps tendinitis, what he called "the true injury she had at work," and provide a three-percent impairment. Given those alternatives, Dr. Hutchison chose the six-percent rating because it was most "appropriate for the outcome she had." He considered "everything [he] saw with Ms. Hart," and "the FCE demonstrated, she had a significant impairment to her shoulder." In summary, Ms. Hart had a normal shoulder before the injury, was able to "do whatever she wanted and it didn't hurt," but considering "where she ended up," the six percent was appropriate. Finally, he testified that, "ultimately, we're [doctors] giving our opinion. If it weren't an opinion, you could just go to the book, look it up and wouldn't need us."

At the hearing, Ms. Hart argued that Dr. Hutchison's "written opinion" is presumed correct under Tennessee Code Annotated section 50-6-204(k)(7) (2019), subject to rebuttal by a preponderance of the evidence. She said if Thyssen Krupp questioned the rating, it might have gotten another medical opinion. Instead, Thyssen Krupp asked the Court to take judicial notice that Dr. Hutchison improperly used the *Guides*, an approach forbidden by the statutory requirement that physicians provide impairment ratings. Ms. Hart specifically cited Dr. Hutchison's testimony that without an expert opinion, a party would simply interpret the *Guides* on its own.

Thyssen Krupp countered that a three-percent rating represents the impairment related to Ms. Hart's work-related injuries, the labral tear and the tendinitis. Thus, because the only work-related conditions were those diagnoses, Dr. Hutchison's consideration of the distal clavicle resection was inappropriate. It specifically pointed to page 387 of the *Guides* that says a physician is to "use the diagnosis with the highest causally-related impairment rating for the impairment calculation." Further, Thyssen Krupp argued that Dr. Hutchison used "pain" as a basis for assessing the higher six-percent rating, contrary to Tennessee Code Annotated section 50-6-204(k)(3) (A physician shall not consider complaints of pain in calculating impairment, even if the *Guides* allow it).

In her testimony, Ms. Hart detailed the "extremely brutal" course of her injury and treatment, noting both pain and limitations. She has continuing problems caring for her mother and tending to her farm but has returned to regular duty at Thyssen Krupp earning a higher wage than she did when injured.

Findings of Fact and Conclusions of Law

At a Compensation Hearing, Ms. Hart must establish all elements of her claim by a preponderance of the evidence. Tenn. Code Ann. § 50-6-239(c)(6). Here, Ms. Hart must establish by a preponderance of the evidence that the six-percent impairment rating is correct.

Under section 204(a)(1)(A), Thyssen Krupp must furnish Ms. Hart medical and surgical treatment "made reasonably necessary" by her injury. Dr. Hutchison's treatment is presumed medically necessary because Ms. Hart chose him from a panel. Tenn. Code Ann. § 50-6-204(a)(3)(H). Further, Tennessee law has long followed "the rule that all the medical consequences and sequelae that flow from the primary injury are compensable." *Rogers v. Shaw*, 813 S.W.2d 397, 400 (1991) *citing* 1 A. Larson, The Law of Workmen's Compensation § 13.11 (1990).

In Sanchez v. Saturn Corp., No. M2003-01894-WC-R3-CV 2004, Tenn. LEXIS 711 (Tenn. Workers' Comp. Panel Aug. 31, 2004), a Supreme Court Panel considered the medical consequences rule in context of a distal clavicle resection. There, the employee suffered a biceps tendon rupture. When the treating physician repaired it, he also resected the distal clavicle but did not provide a rating for the procedure. *Id.* at *4. So, the employee obtained a rating for the resection from another physician who said the resection was "part of the surgical procedure that [the treating physician] felt was necessary" to prevent pressure on the biceps. *Id.* at *9. Thus, the employee argued that, under *Rogers*, he should receive compensation for the resection because it was reasonably necessary to treat his biceps tendon rupture. *Id.* at *8.

However, the Panel affirmed the trial court's denial of compensation, finding that the distal clavicle resection "was not reasonably required" medical treatment. Specifically, the Panel noted the treating physician "testified that the resection was not done to create more space for the biceps and, in fact, had nothing to do with the biceps rupture." *Id.* at *9. Instead, the treating physician performed the distal clavicle resection incidentally "to prevent the [employee] from having any future trouble." *Id.* at *3.

The opposite is true here. The authorized treating physician testified he *would not have performed* the distal clavicle resection *but for the work injury*. Additionally, he explained why he performed the procedure, specifically, to improve Ms. Hart's loss of function due to the injury. It was not done solely because of pain complaints. Moreover, Dr. Hutchison's opinion is the only expert opinion in the record. "Judges are not well-suited to second-guess a medical expert's treatment, recommendations, and/or diagnoses absent some conflicting medical evidence or some other countervailing evidence properly admitted into the record." *Scott v. Integrity Staffing Solutions*, 2015 TN Wrk. Comp. App. Bd. LEXIS 24, at *8 (Aug. 18, 2015).

Thus, unlike the *Sanchez* employee, Ms. Hart established by a preponderance of the evidence that the distal clavicle resection was reasonably necessary medical treatment. It follows then that she is entitled to compensation for the impairment resulting from it.

IT IS, THEREFORE, ORDERED as follows:

- 1. Thyssen Krupp shall pay Ms. Hart permanent partial disability benefits for a sixpercent permanent partial disability to the body, a period of twenty-seven weeks, which at the stipulated weekly compensation rate of \$565.89 equals \$15,279.03. Ms. Hart's attorney is entitled to a twenty-percent fee of the award under Tennessee Code Annotated section 50-6-226(a)(1) in the amount of \$3,055.81. Ms. Hart may move the Court for an award of discretionary costs, unless the parties reach an agreement on the issue.
- 2. Thyssen Krupp shall pay future medical benefits under Tennessee Code Annotated section 50-6-204(a)(1)(A). Dr. Hutchison remains the authorized treating physician.
- 3. Thyssen Krupp shall pay \$150 costs to the Court Clerk within five business days under Tennessee Compilation Rules and Regulations 0800-02-21-.07 (May 2018).
- 4. Thyssen Krupp shall prepare and submit to the Court Clerk a Statistical Data Form (SD2) within ten business days of this order becoming final.
- 5. Absent an appeal, this order shall become final thirty days after issuance.

ENTERED June 25, 2020.

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JUDGE ALLEN PHILLIPS // Court of Workers' Compensation Claims

APPENDIX

Exhibits

- 1. Deposition of Dr. Jason Hutchison
- 2. Collective Medical records of Dr. Hutchison and Dr. Mark Harriman
- 3. Final Medical Report (Form C-30A) of Dr. Hutchison

Technical record

- 1. Petition for Benefit Determination
- 2. Dispute Certification Notice
- 3. Request for Expedited Hearing
- 4. Withdrawal of Request for Expedited Hearing
- 5. Scheduling Order
- 6. Amended Scheduling Order
- 7. Motion to Continue
- 8. Order of Continuance
- 9. Second Order of Continuance
- 10. Post-Discovery Mediation DCN
- 11. Employee's Compensation Hearing Brief
- 12. Employee's Notice of Filing Proposed Exhibits
- 13. Pre-Compensation Hearing Statement
- 14. Employer's trial Brief
- 15. Notice of Filing C-30A

CERTIFICATE OF SERVICE

I certify that a copy of this Order was sent as indicated on June 25, 2020.

Name	Via	Service sent to:
	Email	
Jonathan L. May,	Х	jmay@forthepeople.com
Employee's Counsel		shaynes@forthepeople.com
Hailey David,	Х	davidh@waldrophall.com
Employer's Counsel		smithj@waldrophall.com

Penny Shrum

Penny Shrum, Clerk of Court Court of Workers' Compensation Claims WC.CourtClerk@tn.gov



Compensation Hearing Order Right to Appeal:

If you disagree with this Compensation Hearing Order, you may appeal to the Workers' Compensation Appeals Board or the Tennessee Supreme Court. To appeal to the Workers' Compensation Appeals Board, you must:

- 1. Complete the enclosed form entitled: "Notice of Appeal," and file the form with the Clerk of the Court of Workers' Compensation Claims *within thirty calendar days* of the date the compensation hearing order was filed. When filing the Notice of Appeal, you must serve a copy upon the opposing party (or attorney, if represented).
- 2. You must pay, via check, money order, or credit card, a **\$75.00 filing fee** *within ten calendar days* after filing of the Notice of Appeal. Payments can be made in-person at any Bureau office or by U.S. mail, hand-delivery, or other delivery service. In the alternative, you may file an Affidavit of Indigency (form available on the Bureau's website or any Bureau office) seeking a waiver of the filing fee. You must file the fully-completed Affidavit of Indigency within ten calendar days of filing the Notice of Appeal. Failure to timely pay the filing fee or file the Affidavit of Indigency will result in dismissal of your appeal.
- 3. You bear the responsibility of ensuring a complete record on appeal. You may request from the court clerk the audio recording of the hearing for a \$25.00 fee. A licensed court reporter must prepare a transcript and file it with the court clerk *within fifteen calendar days* of the filing the Notice of Appeal. Alternatively, you may file a statement of the evidence prepared jointly by both parties *within fifteen calendar days* of the filing of the Notice of Appeal. The statement of the evidence must convey a complete and accurate account of the hearing. The Workers' Compensation Judge must approve the statement of the evidence before the record is submitted to the Appeals Board. If the Appeals Board is called upon to review testimony or other proof concerning factual matters, the absence of a transcript or statement of the evidence can be a significant obstacle to meaningful appellate review.
- 4. After the Workers' Compensation Judge approves the record and the court clerk transmits it to the Appeals Board, a docketing notice will be sent to the parties. The appealing party has *fifteen calendar days* after the date of that notice to submit a brief to the Appeals Board. See the Practices and Procedures of the Workers' Compensation Appeals Board.

To appeal your case directly to the Tennessee Supreme Court, the Compensation Hearing Order must be final and you must comply with the Tennessee Rules of Appellate Procedure. If neither party timely files an appeal with the Appeals Board, the trial court's Order will become final by operation of law thirty calendar days after entry. *See* Tenn. Code Ann. \S 50-6-239(c)(7).

For self-represented litigants: Help from an Ombudsman is available at 800-332-2667.



Tennessee Bureau of www.tn.gov/work	OF APPEAL f Workers' Compensation force/injuries-at-work/ .gov 1-800-332-2667
	Docket No.:
	State File No.:
	Date of Injury:
Employee	
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Employer	
Notice is given that	
	party(ies). Use separate sheet if necessary.]
appeals the following order(s) of the Tennessee Court Workers' Compensation Appeals Board (check one or stamped on the first page of the order(s) being appeal	more applicable boxes and include the date file-
Expedited Hearing Order filed on	□ Motion Order filed on
Compensation Order filed on	Other Order filed on
issued by Judge	·
Statement of the Issues on Appeal	
Provide a short and plain statement of the issues on a	ppeal or basis for relief on appeal:
Parties	
Appellant(s) (Requesting Party):	
	Phone:
Email:	
Attorney's Name:	
Attorney's Email:	
Attorney's Address:	

 \ast Attach an additional sheet for each additional Appellant \ast

Employee Name:	Docket No.:	Date of Inj.:
Appellee(s) (Opposing Party):		🗆 Employer 🗆 Employee
Appellee's Address:		Phone:
Email:		
Attorney's Name:		BPR#:
Attorney's Email:		Phone:
Attorney's Address:		

* Attach an additional sheet for each additional Appellee *

CERTIFICATE OF SERVICE

l,	, certify that I have forwarded a
true and exact copy of this Notice of	Appeal by First Class mail, postage prepaid, or in any manner as described
in Tennessee Compilation Rules & R	egulations, Chapter 0800-02-21, to all parties and/or their attorneys in this
case on this the day of	, 20

[Signature of appellant or attorney for appellant]



Tennessee Bureau of Workers' Compensation 220 French Landing Drive, I-B Nashville, TN 37243-1002 800-332-2667

AFFIDAVIT OF INDIGENCY

I, ______, having been duly sworn according to law, make oath that because of my poverty, I am unable to bear the costs of this appeal and request that the filing fee to appeal be waived. The following facts support my poverty.

1. Full Name:			2. Address:
3. Telephone Numb	er:		4. Date of Birth:
5. Names and Ages	of All Depe	endents:	
·			Relationship:
3			Relationship:
3			Relationship:
3			Relationship:
6. I am employed by	/:		ä
My employe	er's address	is:	
My employe	er's phone n	umber is:	
7. My present month	nly househo	old income, after fede	ral income and social security taxes are deducted, is:
\$			
8. I receive or expec	t to receive	money from the follo	owing sources:
AFDC	\$	per month	beginning
SSI	\$	per month	beginning
Retirement	\$	per month	beginning
Disability	\$	per month	beginning
Unemploym	ient \$	per month	beginning
Worker's Co	omp.\$	per month	beginning
Other	\$	per month	beginning

RDA 11082

9. My expenses are:

Rent/House Pa	ayment \$	per month	Medical/Dental	\$	per month
Groceries	\$	_per month	Telephone	\$	per month
Electricity	\$	_ per month	School Supplies	s \$	per month
Water	\$	_ per month	Clothing	\$	per month
Gas	\$	_ per month	Child Care	\$	per month
Transportation	\$	_ per month	Child Support	\$	per month
Car	\$	_ per month			
Other	\$	per month (descr	ibe:)
Automobile Checking/Savir House	ngs Acct. \$ _ \$ _		(FMV)		
Other	\$_		Describe:		
11. My debts are:					
Amount Owed		To Whom			
1 <u>7</u>					:

I hereby declare under the penalty of perjury that the foregoing answers are true, correct, and complete and that I am financially unable to pay the costs of this appeal.

APPELLANT

Sworn and subscribed before me, a notary public, this

_____day of ______. 20_____.

NOTARY PUBLIC

My Commission Expires:_____

LB-1108 (REV 11/15)